

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 197(b) or 1.97(c))

Docket No.
15292.5

In Re Application Of: Stefan Johansson

| Application No. | Filing Date | Examiner | Customer No | Group Art Unit | Confirmation No. |
|-----------------|------------------|--------------|-------------|----------------|------------------|
| 09/771,121 | January 26, 2001 | Ian N. Moore | 22913 | 2616 | 7000 |

Title:
ORIGINATOR AUTHENTICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
OR
☒ the fee set forth in 37 CFR 1.17(p).

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \$ _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of \$ _____.
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☒ Payment by credit card using the payment option in eFiler with RAM will be used to cover the payment of fees with respect to this Information Disclosure Statement.


Signature

Dated this 26 day of June, 2007.

JENS C. JENKINS
Registration No.: 44,803
Attorney for Applicant
Customer No.: 22913

VIA eFILE

PATENT APPLICATION

Docket No. 15292.5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|----------------------|---------------------------|------------|
| In re application of | |) |
| | |) |
| | Stefan Johansson, et al. |) |
| | |) |
| Serial No.: | 09/771,121 |) Art Unit |
| | |) 2616 |
| Filed: | January 26, 2001 |) |
| | |) |
| Conf. No.: | 7000 |) |
| | |) |
| For: | ORIGINATOR AUTHENTICATION |) |
| | |) |
| Examiner: | Ian N. Moore |) |
| | |) |
| Customer No.: | 22913 |) |

INFORMATION DISCLOSURE STATEMENT

UNDER 37 C.F.R. § 1.97

VIA eFILE IDS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

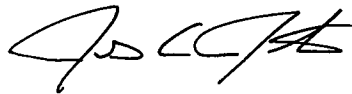
Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 26 day of June, 2007.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Jens C. Jenkins', with a stylized flourish at the end.

JENS C. JENKINS
Attorney for Applicant
Registration No. 44,803
Customer No. 22913

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Sheet 1 of 10

Applicant: Stefan Johansson

Serial No.: 09/771,121

Attorney Docket No.: 15292.5

Filing Date: January 26, 2001

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INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Application Documents

| <u>Examiner Initial*</u> | <u>Application Number</u> | <u>Name</u> | <u>Class</u> | <u>Sub Class</u> | <u>Filing Date</u> |
|------------------------------|-------------------------------|-------------|--------------|----------------------|------------------------|
| _____ A1. | 09/684,057 | Johansson | 709 | 227 | 10/06/00 |

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| <u>Examiner Initial*</u> | <u>Patent Number</u> | <u>Issued Date</u> | <u>Name</u> | <u>Class</u> | <u>Sub Class</u> | <u>Filing Date</u> |
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Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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U.S. Patent Documents (continued)

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| _____ A11. | 5,901,359 | 05/04/99 | Malmstrom | 455 | 461 | 01/03/97 |
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Applicant: Stefan Johansson

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U.S. Patent Documents (continued)

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U.S. Patent Documents (continued)

| <u>Examiner Initial*</u> | <u>Patent Number</u> | <u>Issued Date</u> | <u>Name</u> | <u>Class</u> | <u>Sub Class</u> | <u>Filing Date</u> |
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U.S. Patent Documents (continued)

| <u>Examiner Initial*</u> | <u>Patent Number</u> | <u>Issued Date</u> | <u>Name</u> | <u>Class</u> | <u>Sub Class</u> | <u>Filing Date</u> |
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| <u>Examiner Initial*</u> | <u>Publication Number</u> | <u>Pub. Date</u> | <u>Name</u> | <u>Class</u> | <u>Sub Class</u> | <u>Filing Date</u> |
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Foreign Patent Documents

| <u>Examiner Initial*</u> | <u>Reference Number</u> | <u>Pub. Date</u> | <u>Country or Patent Office</u> | <u>International Classification</u> | <u>Trans- lation</u> |
|------------------------------|-----------------------------|----------------------|-------------------------------------|-----------------------------------------|--------------------------|
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Applicant: Stefan Johansson

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Foreign Patent Documents (continued)

| <u>Examiner Initial*</u> | <u>Reference Number</u> | <u>Pub. Date</u> | <u>Country or Patent Office</u> | <u>International Classification</u> | <u>Trans- lation</u> |
|------------------------------|-----------------------------|----------------------|-------------------------------------|-----------------------------------------|--------------------------|
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Applicant: Stefan Johansson

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Attorney Docket No.: 15292.5

Filing Date: January 26, 2001

Art Unit: 2616

Confirmation No.: 7000

For: ORIGINATOR AUTHENTICATION

Non-Patent Literature Documents

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- _____ A82. R. Han et al., *Dynamic Adaptation in an Image Transcoding Proxy for Mobile Web Browsing*, IEEE Personal Communications, vol. 5, no. 6, December 1, 1998, pp. 8-17.
- _____ A83. H. Bhardvaj et al., *An Active Transcoding Proxy to Support Mobile Web Access*, Reliable Distributed Systems, October 1998, pp. 118-123.
- _____ A84. Anupam Joshi, *On Proxy Agents, Mobility and Webb Access*, Baltzer Mobile Networks and Applications, May 2000, pp. 1-19.
- _____ A85. A. Fox et al., *Adapting to Network and Client Variation Using Infrastructural Proxies: Lessons and Perspectives*, IEEE Personal Communications, vol. 5, no. 4, August 1, 1998, pp. 10-19.
- _____ A86. RFC 1630 "Universal Resource Identifiers in WWW" Berners-Lee, June 1994.
- _____ A87. M.R. Croak, *Unified Messaging Will Deliver The Message*, AT&T Technology, American Telephone & Telegraph Co., Short Hills, New Jersey, vol. 4, No. 2, 1989, pp. 2-5.
- _____ A88. Martin F. Parker, et al., *Unified Messaging – A Value – Creating Engine for Next – Generation Network Services*, Bell Labs Technical Journal, vol. 4, No. 2, pp. 71-87, Apr-Jun. 1999.

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References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

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